

Serial No. 10/089,872 Attorney Docket No. 40012-0065 Conf. No.: 5560

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 1743

Examiner: Unassigned

In re Application of: Bruce Kaiser et al.

Serial No.: 10/089,872

Filed: April 3, 2002

For: Methods for Identification and

Verification

Box DD

Commissioner for Patents Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached PTO form 1449. This Information Disclosure Statement is being filed before issuance of an Office Action on the merits.

Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached PTO form 1449.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D. C. 20231, on this 11th day of March 2003.

Signed:

Dated:

Serial No. 10/089,872

Attorney Docket No. 40012-0065

Conf. No.: 5560

constitute "prior art." If the Examiner applies any of the documents as "prior art" against any

claim in the application and applicants determine that the cited documents do not constitute

"prior art" under United States law, applicants reserve the right to present to the Office the

relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability

of the disclosed invention over the listed documents, should one or more of the documents be

applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the

fee to our Deposit Account No. 18-0013/40012-0065.

Respectfully Submitted,

KENNETH E. HORTON

Reg. No. 39,481

Rader, Fishman & Grauer

Date: March 11, 2003

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Control number.

Complete if Known Substitute for form 1449A/PTO **Application Number** 10/089,872 INFORMATION DISCLOSURE Filing Date September 16, 2002 STATEMENT BY APPLICANT First Named Inventor **Bruce Kaiser** Art Unit 1743 (use as many sheets as necessary) Examiner Name

Sheet of

Unassigned Attorney Docket Number 40012-0065

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials	Cite No. ¹	Document Number Number - Kind Code ² (if known	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,501,825	12-31-2002	Kaiser et al.	
		US- 6,477,227	11-05-2002	Kaiser et al.	
		US- 6,200,628	03-13-2001	Rozumek et al.	
		US- 6,178,226	01-23-2001	Hell et al.	
		US- 6,165,609	12-26-2000	Curatolo	
		US- 6,130,931	10-10-2000	Laurila et al.	
		US- 6,111,929	08-29-2000	Hazlett	
		US- 6,097,785	08-01-2000	Elam	
		US- 6,075,839	06-13-2000	Treseder	
		US- 6,041,095	03-21-2000	Yokhin	
		US- 6,030,657	02-29-2000	Butland et al.	
		US- 6,025,200	02-15-2000	Kaish et al	
		US- 6,007,744	12-28-1999	Nacker	
		US- 6,005,915	12-21-1999	Hossain et al.	
		US- 5,849,590	12-15-1998	Anderson, II et al.	
		US- 5,830,769	11-03-1998	Wieder et al.	
		US- 5,760,394	06-02-1998	Welle	
		US- 5,677,187	10-14-1997	Anderson, II et al.	
		US- 5,670,239	09-23-1997	Натрр	
		US- 5,527,707	06-18-1996	Fukazawa	

	FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code 3 - Number 4 - Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т8	
	<u> </u>	EP 0 911 626 A1	10-15-1998	The Wahoo Trust Guernsey		_	
	<u> </u>	EP 0 911 627 A1	10-15-1998	The Wahoo Trust Guernsey			
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Examiner Signature	Date Considered	

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Applicant's unique citation designation number (optional). ² Sex Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



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MAR 1 8 2003

PTC/SB/08A (10-01)

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Substitute for form 1449A/PTO Complete if Known 10/089,872 **Application Number** INFORMATION DISCLOSURE Filing Date September 16, 2002 STATEMENT BY APPLICANT First Named Inventor Bruce Kaiser Art Unit (use as many sheets as necessary) **Examiner Name** <u>Unassigned</u> Sheet of 3 Attorney Docket Number 40012-0065

	U.S. PATENT DOCUMENTS						
Examiner		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
Initials	No.1	Number- Kind Code ² (if known MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear			
		US- 5,474,937	12-12-1995	Anderson, II et al.	rigures Appeal		
		US- 5,461,654	10-24-1995	Grodzins et al.			
		US- 5,301,044	04-05-1994	Wright			
		US- 5,208,630	05-04-1993	Goodbrand et al.			
		US- 5,185,773	02-09-1993	Blossfeld et al.			
]		US- 5,057,268	10-15-1991	Muller			
		US- 4,862,143	08-29-1989	Hirshfield et al.			
		US- 4,767,205	08-30-1988	Schwartz et al.			
		US- 4,485,308	11-27-1984	Rabatin			
		US- 4,476,382	10-09-1984	White			
		US- 4,445,225	04-24-1984	White			
[.		US- 4,390,452	06-28-1983	Stevens			
		US- 4,363,965	12-14-1982	Soberman et al.			
		US- 4,251,726	02-17-1981	Alvarez			
		US- 4,136,778	01-30-1979	Wortman et al.			
]	US- 4,045,676	08-30-1977	Rolle			
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		FORE	IGN PATENT D	OCUMENTS		
Examiner Initials	Cite No. 1	Foreign Patent Document Country Code 3 - Number 4 - Kind Code 5 (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
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Signature	Date	
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¹ Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known			
Application Number	10/089,872		
Filing Date	September 16, 2002		
First Named Inventor	Bruce Kaiser		
Group Art Unit	1743		
Examiner Name	Unassigned		
Attorney Docket Number	40012-0065		

	1 -	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
***		KAISER et al., PCT application number PCT/US00/26415, published as WO 01/25820 on 12 April 2001.	
		KAISER et al., PCT application number PCT/US00/26461, published as WO 01/25763 on 12 April 2001.	
		KAISER et al., PCT application number PCT/US00/16784, published as WO 01/025764 on 12 April 2001.	
		KAISER et al., PCT application number PCT/US00/27491, published as WO 01/25767 on 12 April 2001 including U.S. Application No. 10/089,671, claiming priority thereof.	•••
		KAISER et al., PCT application number PCT/US00/27624, published as WO 01/25821 on 12 April 2001 including U.S. Application No. 10/089,872, claiming priority thereof.	
		KAISER et al., PCT application number PCT/US00/27423, published as WO 01/025748 on 12 April 2001 including U.S. Application No. 10/089,870, claiming priority thereof.	-
		KAISER et al., PCT application number PCT/US00/27420, published as WO 01/025766 on 12 April 2001 including U.S. Application No. 10/089,671, claiming priority thereof.	
		KAISER et al., PCT application number PCT/US00/27151, published as WO 01/25765 on 12 April 2001.	
		KAISER et al., PCT application number PCT/US00/27492, published as WO 01/25768 on 12 April 2001 including U.S. Application No. 10/089,900, claiming priority thereof.	
		KAISER et al., PCT application number PCT/US00/27418, published as WO 01/025747 on 12 April 2001 including U.S. Application No. 10/089,671, claiming priority thereof.	

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	Date
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